

Guidelines for the use of Generative Artificial Intelligence (GenAl) tools at UMD

I. Purpose

The University of Maryland (UMD) is committed to fostering innovation and academic excellence while prioritizing technology's privacy, security, and ethical use in its educational and research environments. Students, faculty, and staff are encouraged to explore how generative artificial intelligence (GenAl) tools can enhance their teaching, learning, and work.

These guidelines supplement established policies and provide best practices for the ethical, responsible, and equitable use of GenAl in teaching, learning, research, scholarship, and administrative functions. The goal is to promote transparency, enhance productivity, and uphold UMD's core values of integrity, inclusivity, and respect.

UMD expects its community members to follow these guidelines when using GenAl tools for teaching and learning, research, and work-related functions.

II. Scope

These guidelines apply to all UMD faculty, staff, students, and affiliates using GenAl tools and technologies in academic, research, or administrative activities. They cover UMD-approved tools, publicly available/externally sourced platforms (free or paid), and personally/departmentally developed applications used for UMD-related work. We encourage you to review these guidelines to ensure the responsible use of GenAl in your work and studies at UMD. This guidance will be reviewed and updated regularly as technology and best practices evolve.

III. Guiding Principles

The promise of GenAl is vast, offering the potential to reshape how we create, steward, and protect knowledge and scholarship. As members of the UMD community, we have a shared responsibility to foster a technology-rich environment where scholarship thrives while thoughtfully addressing the inherent risks of modern tools, such as data privacy, intellectual property, and content accuracy. A collective commitment to responsible and ethical use, both individually and institutionally, is crucial to navigating these evolving challenges. The following principles have been developed to guide our community's ethical and responsible design and use of GenAl tools.

- Human Oversight Anyone using GenAl tools for any activity should take full ownership
 of the resulting product. Decisions affecting academic or administrative outcomes should
 include oversight by UMD officials with academic and/or administrative authority. Such
 decisions should not be based solely on outputs generated by GenAl tools.
- Access UMD will strive to address potential disparities in access or usage within our community.
- 3. **Privacy -** The use of GenAl should comply with the University System of Maryland (USM) and UMD data privacy policies and applicable laws and regulations, including but not

- limited to the Maryland Personal Information Protection Act, Family Educational Rights and Privacy Act (FERPA), and Health Insurance Portability and Accountability Act (HIPAA).
- 4. **Transparency** All users, including students, faculty, and staff, should disclose and, when applicable, make <u>proper attribution</u> when using GenAl tools in teaching, learning, research, scholarship, and administrative activities.
- 5. **Accountability -** Faculty, staff, and students are responsible for <u>understanding</u> the capabilities and limitations of GenAl tools and ensuring appropriate use.

IV. Acceptable Uses

At UMD, we are collectively responsible for maintaining intellectual honesty and scholarly integrity, both of which could be compromised by presenting GenAl-generated content as one's own work. Faculty, staff, and student-employees should use UMD-approved GenAl tools, such as TerpAl when working and teaching on behalf of UMD. GenAl tools should not be used to fabricate, falsify, or misrepresent information, impersonate individuals, or generate deceptive content except when intentionally employed by instructors or researchers for pedagogical or research purposes in a controlled and ethical manner.

They should also be aware that accepting click-through agreements using UMD credentials without delegated signature authority may result in personal responsibility for compliance with the terms and conditions of the AI tool. UMD strongly recommends that all students utilize UMD-approved tools for study, as they are deployed in alignment with institutional security and compliance requirements.

1. Teaching and Learning

Instructors are strongly encouraged to establish a course-specific policy that defines the appropriate and inappropriate use of GenAl tools. Students should assume that the use of GenAl tools to complete course assignments and assessments is not allowed unless otherwise specified in the course syllabus or assignment/assessment instructions. While using GenAl tools as a learning aid—such as for practicing problems, exploring concepts, or reviewing definitions—is a common practice, students should confer with their instructors about academic integrity policies within their particular courses and assignments.

Students - Students should consult with their instructors, teaching assistants, and mentors to clarify expectations regarding the use of GenAl tools in a given course. When permitted by the instructor, students should appropriately acknowledge and cite their use of GenAl applications. When conducting research-related activities (e.g., theses, comprehensive exams, dissertations), students should refer to the guidance below for research and scholarship. Allegations of unauthorized use of GenAl will be treated similarly to allegations of unauthorized assistance (cheating) or plagiarism and investigated by the Office of Student Conduct.

Faculty and Instructors - Instructors are encouraged to help students develop critical thinking skills about the use of GenAl tools, fostering workforce readiness and preparing them to navigate the technology-rich world we all inhabit. This can be achieved through class discussions, assignments, or by incorporating the <u>Al Literacy module</u> developed by

the Teaching and Learning Transformation Center and University Libraries into ELMS-Canvas course spaces.

It will be at the course instructor's discretion to determine whether GenAl may be used, to what extent, and for which assignments and assessments. Instructors are strongly encouraged to establish a course-specific policy that defines the appropriate and inappropriate use of GenAl tools, fostering transparency and understanding between instructors and students. These expectations should be clearly outlined in the course syllabus (see suggested language), shared through relevant course policies, and reinforced during class discussions. Explicit and transparent decisions about limiting or integrating GenAl tools into coursework can strengthen students' understanding of academic integrity while promoting meaningful learning.

If using GenAl to create course materials or assist in grading processes, instructors are advised to use the same level of oversight and transparency they would expect of students using these tools in their academic work.

The Division of Academic Affairs advises against incorporating GenAl detection tools into course policies. However, if an instructor plans to use such tools, they should clearly communicate to students the reasons for their use and how they will be interpreted and acted on. Results from GenAl detection tools should be treated only as potential indicators of misconduct, not definitive proof. These results should not serve as the sole basis for grading decisions. While information from these tools may be included in referrals to the Office of Student Conduct, such information alone will not solely determine a student's responsibility. GenAl detection tools may expose students' information to third parties without proper authorization, potentially violating FERPA, other privacy laws, or institutional policies. This risk persists even if an individual's name is removed from an assignment. Faculty should exercise caution about inputting student work into these tools. For guidance on GenAl and de-identification, contact the Privacy Office at umd-privacy@umd.edu.

Teaching Assistants (TAs), Graders, and Tutors - TAs, graders, and tutors must ensure alignment with instructors and/or program-specific guidance regarding the use of GenAl tools within a given course. They should adhere to the instructors' and/or programs' expectations regarding whether, how, and when students may use GenAl tools. TAs, Graders, and Tutors should only use GenAl to assist students, create course materials, and grade assignments with the instructor's approval. They are advised to use the same level of oversight and transparency expected of instructors using GenAl tools.

2. Research and Scholarship

Researchers are encouraged to consult with co-investigators, advisors, collaborators, funding agencies, and field experts to evaluate the appropriateness of using GenAl technology in research activities.

Maintaining research integrity and safeguarding intellectual property, confidentiality, and ethical standards are essential when using GenAl tools. All users should review and

evaluate the output for accuracy and potential bias and should disclose the use of GenAl with proper attribution.

Researchers should follow specific policies set by journals, funding agencies, and professional societies when reporting research. Many federal agencies use tools to detect Al-generated content, as GenAl tools often paraphrase from other sources, raising concerns regarding plagiarism and intellectual property. Researchers should take extra caution before inputting confidential, proprietary, or sensitive <u>data classified as high risk</u> (<u>Level 3</u>) or higher into GenAl tools, even those approved by UMD. If you are uncertain, it is advisable to consult the DIT Privacy Office by contacting <u>umd-privacy@umd.edu</u>.

Researchers should not input federal, state, or UMD data into externally sourced GenAl tools due to the high risk of exposing sensitive information to public or open-source domains. They should also avoid using GenAl-automated meeting tools to record or capture discussions involving sensitive data or topics. If unsure about the recording practices of external hosts, vendors, or subcontractors, researchers should seek clarification to ensure compliance and appropriateness. If a host insists on using GenAl-automated tools despite concerns, UMD researchers are advised to decline participation to protect institutional data integrity and privacy.

Externally sourced GenAl tools remain subject to the State of Maryland and UMD procurement policies and procedures. Researchers should not upload unpublished research data or other confidential information into GenAl tools that have not undergone proper review. Entering information into tools that have not been reviewed and authorized may compromise future intellectual property protections, lead to unauthorized disclosure of research data, and/or create privacy violations for research subjects or collaborators. Confidential materials, such as unpublished manuscripts, funding proposals under peer review, or personal information about research subjects, should not be shared with GenAl tools. For instance, uploading interview data could result in quotations or sensitive information being inadvertently made public.

Researchers should exercise caution, as Al-generated outputs may infringe on third-party intellectual property rights. GenAl responses are derived from preexisting works, and their use in research may require additional scrutiny to avoid legal or ethical conflicts. For research that may result in invention, the US Patent and Trademark Office recognizes Al-assisted inventions, and patent protection may be sought where one or more persons have made a significant contribution to the invention.

All research at UMD is subject to institutional policies, including the <u>UMD Policy on Intellectual Property</u>, the <u>Policy on Copyrights</u>, the <u>UMD Code of Academic Integrity</u>, <u>Policy and Procedures Concerning Scholarly Misconduct</u>, and the <u>Policy on Conflict of Interest and Conflict of Commitment</u>. Researchers should familiarize themselves with these and all related policies, which can be accessed through institutional websites.

3. Administrative Work

GenAl tools are encouraged to enhance work efficiency and productivity. However, their use should align with institutional policies, safeguard sensitive information, and ensure outputs are accurate, unbiased, and appropriate for the intended purpose.

In addition to the above guidance for teaching, learning, research, and scholarship, GenAl tools may also be utilized for administrative purposes, including, but not limited to, streamlining workflows, assisting with business processes, drafting communications, and assembling information to inform decision-making. When leveraging GenAl for administrative tasks, ensuring full compliance with privacy standards and all relevant institutional policies, standards, and guidelines is essential. Administrative staff should not input any institutional data that is not publicly available into externally sourced platforms (free or paid) using GenAl tools. This restriction applies to any confidential or proprietary business information belonging to UMD. In such cases, administrative staff must rely exclusively on UMD-approved GenAl tools, such as TerpAl, which are deployed in alignment with institutional security and compliance requirements. While conducting work on behalf of UMD, faculty, and staff should not input institutional data classified as moderate risk (Level 2) or higher into publicly available/externally sourced platforms (free or paid) using GenAl tools.

The use of GenAl tools for administrative purposes must also align with unit-specific guidance to ensure consistency with operational and legal standards. Before integrating GenAl tools into their work processes, individuals must consult with their supervisors to discuss appropriate use in their specific roles, clarify expectations, and determine the scope of permissible applications. Furthermore, any Al-generated content in official communications, documents, or reports should be disclosed and appropriately attributed.

To promote transparency and accountability in using administrative applications of GenAl, individuals should ensure that Al-generated outputs meet quality and accuracy standards before using or distributing them. Retaining records of Al-generated drafts or outputs is recommended, particularly when assembling information to inform decision-making or drafting external communications.

V. Implementation

GenAl tools are treated as any other IT system or platform used to conduct UMD business, with robust safeguards to ensure privacy, security, and responsible use. UMD-approved GenAl tools meet institutional standards for data protection, comply with privacy laws, and align with UMD policies. These tools undergo rigorous evaluation to ensure they are safer and more secure than publicly available alternatives, which may lack adequate safeguards for sensitive information, intellectual property, or user privacy. By utilizing UMD-approved GenAl tools, individuals can minimize risks while supporting the responsible and effective integration of these technologies.

Data and Prompts Management - Pursuant to <u>UMD's Privacy Policy and Standards</u>, UMD reserves the right to access and review prompts, outputs, or other information from GenAl tools—similar to any other IT system or platform provided by UMD—for purposes such as monitoring for and flagging inappropriate content, improving model performance, and investigating actual or suspected misconduct or incidents that pose risks to the UMD

community or third parties. Any investigation will be conducted in compliance with UMD's privacy policies, ensuring that the review process itself does not violate individual privacy.

Periodic Review - These guidelines will be reviewed periodically to reflect advancements in GenAl technologies and ensure alignment with UMD's mission and values.

Report Misuse - Similar to any other IT system or platform UMD provides, individuals should report any potential compliance or ethical concerns related to using GenAl tools to their department leadership or directly to Project NEThics.

GenAl Tools Feedback - UMD has designated <u>dit-ais@umd.edu</u> as the primary communication channel for submitting questions, reporting concerns, sharing feedback, and suggesting improvements regarding UMD-approved GenAl tools and related practices.

VI. Procuring Al Tools/Software (including free tools)

UMD recognizes the financial and operational implications of providing campus-wide access to GenAl tools. While these technologies offer meaningful benefits, their costs—particularly for GenAl maintenance—are expected to rise as companies adjust pricing models to achieve profitability. UMD is committed to regularly evaluating these tools, including cost projections and value assessments, to ensure investments align with institutional priorities, fiscal responsibility, and long-term sustainability.

To mitigate risks and ensure compliance, individuals intending to use UMD credentials to access or purchase products or tools with GenAl functionality should contact the Division of IT at itsupport@umd.edu before signing up. This requirement holds true even if the product is offered for free or as open source. DIT's compliance team will route the request to resources to help validate the vendor's product and verify that the contract language does not introduce undue risk to UMD.

VII. Selected Available Resources

General Information

- o Generative AI at UMD
- o How do we use AI at UMD?
- o GenAl Services approved by the Division of IT
- Using GenAl While Respecting Privacy
- 2024 GenAl in Teaching and Learning Campus-Wide Survey
- o Ethics, Integrity, and Compliance Reporting

Teaching & Learning

- Al and Considerations for Teaching
- Examples of syllabus language related to the use of GenAl
- Harnessing Al for Teaching Self-paced training for instructors
- o Import content on AI and Information Literacy into your course
- Reguest a consultation with the TLTC
- Information Literacy Toolkit: Resource for Teaching Faculty
- o <u>UMD Course-Related Policies</u>

Refer an issue to the Office of Student Conduct

Research

- Al and Data Science at UMD
- Artificial Intelligence Interdisciplinary Institute at Maryland (AIM)
- o Grand Challenges: Values-Centered Artificial Intelligence
- Assistance with intellectual property
- UMD Policy on Intellectual Property
- o Policy on Copyrights
- UMD Code of Academic Integrity
- Policy and Procedures Concerning Scholarly Misconduct
- o Policy on Conflict of Interest and Conflict of Commitment.
- Examples of how to properly cite GenAl:
 - APA (American Psychological Association)
 - The Chicago Manual of Style
 - MLA Style Center
 - Scribbr

IT Support

- GenAl Services approved by the Division of IT
- o IT Support with Al Generative tools approved by DIT
- Request a consultation about GenAl tools approved by DIT
- DIT support articles about approved GenAl tools at UMD

Related Policies and Procedures

- State of MD Al Law Senate Bill 818 Chapter
- Maryland Higher Education Privacy Act
- USM Policy on Intellectual Property
- Student Privacy FERPA
- Policy on the Acceptable Use of Information Technology Resources
- Privacy Policy
- Web Accessibility
- Code of Academic Integrity

Academic Publishers Guidelines and Policies on Al in Research

- ACM: Policy on Authorship: Can I use GenAl software tools to prepare my manuscript?
- o Cambridge University Press: Al Contributions to Research Content
- o IEEE: Author Guidelines for Artificial Intelligence-Generated Text
- Elsevier: Use of Generative AI and AI-Assisted Technologies in Writing for Elsevier
- Elsevier: Generative Al Policies for Journals
- Oxford University Press: Author Use of Generative Artificial Intelligence
- Sage: Using Al in Peer Review and Publishing
- Sage: Al Policy
- Springer: Al Guidelines for Authors and Peer Reviewers
- o Taylor & Francis: Al Policy

o Wiley: Generative Al Guidelines for Authors

VIII. Attribution

To refine the final language, this document was edited with the help of Grammarly (2024) and Terp-OpenAI (2024).

IX. Contact Information

For questions or further information about these guidelines, please contact:

Office of the Senior Vice President & Provost

provost@umd.edu 301.405.5252

X. History

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